

# UNIQUE ERGODICITY OF FLOWS ON HOMOGENEOUS SPACES

BY

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## ABSTRACT

Let  $G$  be a unimodular Lie group,  $\Gamma$  a co-compact discrete subgroup of  $G$  and ' $a$ ' a semisimple element of  $G$ . Let  $T_a$  be the map  $g\Gamma \rightarrow ag\Gamma: G/\Gamma \rightarrow G/\Gamma$ . The following statements are pairwise equivalent: (1)  $(T_a, G/\Gamma, \omega)$  is weak-mixing. (2)  $(T_a, G/\Gamma)$  is topologically weak-mixing. (3)  $(G'', G/\Gamma)$  is uniquely ergodic. (4)  $(G'', G/\Gamma, \omega)$  is ergodic. (5)  $(G'', G/\Gamma)$  is point transitive. (6)  $(G'', G/\Gamma)$  is minimal. If in addition  $G$  is semisimple with finite center and no compact factors, then the statement " $(T_a, G/\Gamma, \omega)$  is ergodic" may be added to the above list.

## 0. Introduction

In this paper we give a different proof of and extend slightly the main result obtained by Bowen in [2]. The latter states: Let  $G$  be a unimodular Lie group,  $\Gamma$  a cocompact discrete subgroup of  $G$  and  $a$  a semisimple element of  $G$  such that the flow  $(T_a, G/\Gamma, \omega)$  is weak-mixing. Then  $(G'', G/\Gamma)$  is uniquely ergodic. (See section 2 for definitions.) We prove (2.4) that in the above situation the following statements are pairwise equivalent: (1)  $(T_a, G/\Gamma, \omega)$  is weak-mixing. (2)  $(T_a, G/\Gamma)$  is topologically weak-mixing. (3)  $(G'', G/\Gamma)$  is uniquely ergodic. (4)  $(G'', G/\Gamma, \omega)$  is ergodic. (5)  $(G'', G/\Gamma)$  is point transitive. (6)  $(G'', G/\Gamma)$  is minimal.

If in addition  $G$  is semisimple with finite center and no compact factors, then the statement " $(T_a, G/\Gamma, \omega)$  is ergodic" may be added to the above list. (See Proposition 3.4).

Our proof resulted from an attempt to apply the method used by Marcus in [4] to the situation considered by Bowen. In [4] Marcus proves that the horocycle flow  $(\phi_s)$  is uniquely ergodic by showing that  $\lim_{T \rightarrow \infty} (1/T) \int_0^T h \circ \phi_s(x) ds$  is constant for every continuous  $h$ . He does this by studying

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$\lim_{n \rightarrow \infty} (1/2^n) \int_0^{2^n} h \circ \phi_s \circ f_n(x) ds = \lim_{n \rightarrow \infty} \int_0^1 h \circ f_n \circ \phi_s(x) ds$  and exploiting the way in which the geodesic flow ( $f_t$ ) and the horocycle flow ( $\phi_s$ ) are related. In our discussion  $G^u$  plays the role of the horocycle flow and  $T_a$  the role of the geodesic flow.

The basic ideas involved in our approach are abstracted and discussed in section 1. There we consider a flow  $(X, T)$  with compact phase space  $X$  and locally compact phase group  $T$  together with a homeomorphism  $\phi$  of  $X$  such that  $\phi^{-1}T\phi = T$  and a supported Borel measure  $\omega$  invariant with respect to both  $T$  and  $\phi$ . The essential "ingredient" is the existence of a compact neighborhood  $V$  of the identity of  $T$  such that (i) the maps  $t \rightarrow \phi^n t \phi^{-n}: V \rightarrow T$  ( $n \geq 0$ ) converge uniformly to the constant map  $t \rightarrow e: V \rightarrow T$  and (ii) the family of maps  $x \rightarrow (1/\mu(V)) \int_V f(xt\phi^n) d\mu(t): X \rightarrow \mathbb{C}$  ( $n \geq 0$ ) is equicontinuous ( $f \in C(X)$ ). (Here  $\mu$  is Haar measure on  $T$ .)

Under these assumptions an abstract version of the aforementioned theorem is obtained (see 1.10). This is applied in sections 2 and 3 to get the results discussed above.

## 1. General theory

Here we discuss an abstract version of the results obtained by Bowen in [2].

1.1 NOTATION. The following notation will be used throughout this section.

(a)  $(X, T)$  is a flow with compact  $T_2$  phase space  $X$  and locally compact phase group  $T$  with Haar measure  $\mu$ .

(b)  $\phi$  is a homeomorphism of  $X$  such that  $\phi t \phi^{-1} \in T$  ( $t \in T$ ). (Here  $T$  is viewed as a subgroup of the group of homeomorphisms of  $X$ .)

(c)  $\omega$  will denote a Borel probability measure on  $X$  invariant under  $\phi$  and  $T$  such that  $\omega(N) > 0$  for all open subsets  $N$  of  $X$ .

(d)  $\mathcal{H}$  will denote the Hilbert space  $L_2(X, \omega)$  and  $U, U_t$  ( $t \in T$ ) will denote the unitary operators induced by  $\phi$  and  $t$  ( $t \in T$ ) respectively.

(e) The linear map  $L: C(X) \rightarrow C(X)$  is defined by  $(Lf)(x) = (1/\mu(V)) \int_V f(xt) d\mu(t)$ , where  $V$  is a fixed compact neighborhood of the identity of  $T$ . It is easy to see that  $L$  has a continuous linear extension (also denoted  $L$ ) to  $\mathcal{H}$  when  $C(X)$  is viewed as a subset thereof.

1.2 BASIC ASSUMPTIONS. 1. The sequence of maps  $t \rightarrow \phi^n t \phi^{-n}: V \rightarrow T$  ( $n \geq 0$ ) converges uniformly to the constant map  $t \rightarrow e: V \rightarrow T$ .

2. The family  $(LU^n f | n \geq 0)$  is equicontinuous for all  $f \in C(X)$ . (Note  $LU^n f$  is just the map  $x \rightarrow (1/\mu(V)) \int_V f(xt\phi^n) d\mu(t): X \rightarrow \mathbb{C}$ .)

1.3 LEMMA. For each  $f \in C(X)$  let there exist a sequence of positive integers  $J(f)$  and a constant  $c(f)$  such that the sequence  $(U^{-n}LU^n f | n \in J(f))$  converges pointwise to  $c(f)$ . Then the flow  $(X, T)$  is uniquely ergodic.

PROOF. Let  $m$  be a  $T$ -invariant Borel probability measure on  $X$  and let  $f \in C(X)$ .

By Fubini's theorem

$$\frac{1}{\mu(V)} \int_V \int f(x\phi^{-n}t\phi^n) dm(x) d\mu(t) = \frac{1}{\mu(V)} \iint_V f(x\phi^{-n}t\phi^n) d\mu(t) dm(x)$$

for all  $n$ . Since  $m$  is  $T$ -invariant and  $\phi^{-n}t\phi^n \in T$  ( $t \in T$ ), the left hand side of the above equation is just  $m(f)$ . Thus  $m(f) = \int U^{-n}LU^n f(x) dm(x)$  which converges to  $m(c(f)) = c(f)$ . The proof is completed.

1.4 COROLLARY. For each  $f \in C(X)$  let there exist a sequence of positive integers  $J(f)$  and a constant  $c(f)$  such that the sequence  $(LU^n f | n \in J(f))$  converges uniformly to  $c(f)$ . Then the flow  $(X, T)$  is uniquely ergodic.

PROOF. This follows from 1.3 and the fact that  $(U^{-n}LU^n f)(x) = (LU^n f)(x\phi^{-n})$  ( $x \in X, n \in \mathbb{Z}$ ).

1.5 LEMMA. The sequence of operators  $(U^n LU^{-n} n \geq 0)$  converges strongly to the identity.

PROOF. Let  $f \in \mathcal{H}$  and  $\varepsilon > 0$ . Then by 1 of 1.2 there exists a positive integer  $N$  such that  $\|U^n U_t U^{-n} f - f\| < \varepsilon$  ( $t \in V, n \geq N$ ). (Recall that the map  $s \rightarrow U_s f: T \rightarrow \mathcal{H}$  is continuous.) Then

$$\begin{aligned} \|U^n LU^{-n} f - f\| &= \left\| \frac{1}{\mu(V)} \int_V U^n U_t U^{-n} f d\mu(t) - f \right\| \\ &= \frac{1}{\mu(V)} \left\| \int_V (U^n U_t U^{-n} f - f) d\mu(t) \right\| \\ &\leq \frac{1}{\mu(V)} \int_V \|U^n U_t U^{-n} f - f\| d\mu(t) \\ &< \varepsilon. \end{aligned}$$

1.6 COROLLARY. Let  $Uf = \lambda f$ . Then  $Lf = f$ .

PROOF. Let  $\varepsilon > 0$ . By 1.5 there exists  $n$  with  $\|LU^{-n} f - U^{-n} f\| = \|U^n LU^{-n} f - f\| < \varepsilon$ . But  $\|LU^{-n} f - U^{-n} f\| = \|\lambda^{-n} Lf - \lambda^{-n} f\| = \|Lf - f\|$ .

1.7 REMARKS. 1. Corollary 1.6 says that  $L$  is the identity on the set of  $L_2$   $\phi$ -eigenfunctions. Thus if  $\mathcal{H}_P$  denotes the closed subspace spanned by the latter,  $Lf = f$  ( $f \in \mathcal{H}_P$ ). Another way of saying this is that  $LP = P$  where  $P$  is the projection onto  $\mathcal{H}_P$ . The elements of  $\mathcal{H}_P$  are called  $L_2$ -almost periodic functions (with respect to  $\phi$ ). For a discussion see [3].

1.8 LEMMA. *Let  $f \in C(X)$  with  $Pf = f$ . Then  $f$  is an almost periodic function, i.e.  $(U^n f | n \in \mathbb{Z})$  is a relatively compact subset of  $C(X)$ .*

PROOF. It is well known that it suffices to show that  $(U^n f | n \geq 0)$  is equicontinuous.

By 2 of 1.2  $(LU^n f | n \geq 0)$  is equicontinuous. Since  $Pf = f$ ,  $PU^n f = U^n f$  for all  $n$  (see [3]). Hence  $LU^n f = U^n f$  (a.e.  $\omega$ ) by 1.7, whence  $LU^n f = U^n f$  since  $LU^n f$  and  $U^n f$  are continuous and  $\omega(N) > 0$  for all nonempty open sets  $N$ .

1.9 LEMMA. *Let the flow  $(X, \phi)$  be topologically weak mixing. Then  $(X, T)$  is uniquely ergodic and  $(X, \phi, \omega)$  is weak mixing. (Note: The hypothesis states that there are no continuous  $\phi$ -eigenfunctions and the second part of the conclusion that there are no  $L_2$   $\phi$ -eigenfunctions.)*

PROOF. Let  $f \in C(X)$ . Then there exists a sequence of positive integers  $(n_i)$  with  $(U^{n_i} f)$  converging weakly to  $Pf$  [3]. By 2 of 1.2 we may assume that  $(LU^{n_i} f)$  converges uniformly to the continuous function  $g$ .

Since  $(LU^{n_i} f) \rightarrow LPf = Pf$  weakly,  $Pf = g$  whence  $Pg = P^2 f = Pf = g$ . By 1.8  $g$  is an almost periodic function and so must be constant since there are no nonconstant continuous eigenfunctions. The first part of the conclusion now follows from 1.4.

The above proof shows that  $P(C(X)) \subset \mathbb{C}$ . Hence  $P(\mathcal{H}) \subset \mathbb{C}$  and  $(X, \phi, \omega)$  is weakly mixing.

1.10 PROPOSITION. *Statements 1 through 4 are equivalent, they are all implied by 5, and when  $X$  is metrizable or  $T$  is amenable all five statements are equivalent. In the latter case 6 may be added to the list of equivalences. 1.  $(X, \phi, \omega)$  is weak-mixing. 2.  $(X, \phi)$  is topologically weak-mixing. 3.  $(X, T, \omega)$  is uniquely ergodic. 4.  $(X, T, \omega)$  is ergodic. 5.  $(X, T)$  is point transitive (i.e., there exists  $x_0 \in X$  with  $\text{cls}(x_0 T) = X$ ). 6.  $(X, T)$  is minimal.*

PROOF. That 1 implies 2 follows immediately from the definition of weak-mixing and topologically weak-mixing.

Lemma 1.9 shows that 2 implies 1 and 3.

Clearly 3 implies 4.

Assume 4 and let  $f$  be a continuous  $\phi$ -eigenfunction with eigenvalue  $\lambda$ . Let  $(n_i)$  be a sequence of positive integers tending to infinity with  $\lim \lambda^{-n_i} = 1$ . Then

$$\begin{aligned} f(xt) &= \lim \lambda^{-n_i} f(xt) = \lim f(xt\phi^{-n_i}) = \lim f(x\phi^{-n_i}\phi^{n_i}t\phi^{-n_i}) \\ &= \lim f(x\phi^{-n_i}) = \lim \lambda^{-n_i} f(x) = f(x) \end{aligned}$$

by 1 of 1.2. Thus  $f(xt) = f(x)$  ( $x \in X$ ,  $t \in T$ ). Since  $(X, T, \omega)$  is ergodic and  $\omega(N) > 0$  for all nonvacuous open sets,  $f$  is constant. Thus 4 implies 2. When  $X$  is metrizable 4 together with the fact that  $\omega$  is supported implies 5.

Assume 5 and again let  $f$  be a continuous  $\phi$ -eigenfunction. Then as above  $f(xt) = f(x)$  ( $x \in X$ ,  $t \in T$ ) whence  $f(x) = f(x_0)$  ( $x \in X$ ) and so 5 implies 2.

It is well known that when  $T$  is amenable statement 3 together with the fact that  $\omega(N) > 0$  for all nonempty open sets  $N$  implies that  $(X, T)$  is minimal.

The proof is completed.

## 2. Homogeneous spaces

In this section we apply the results of section 1 to the action of certain subgroups of a connected Lie group  $G$  on the homogeneous space  $G/\Gamma$  where  $\Gamma$  is a cocompact discrete subgroup of  $G$ . (Note that under these conditions  $G$  is unimodular, see [5, 1.4, 1.5, 1.9].)

Let  $a \in G$ . Then the automorphism  $g \rightarrow aga^{-1}: G \rightarrow G$  induces an automorphism  $\text{ad}(a)$  of the Lie algebra  $\mathfrak{G}$  of  $G$ . The latter splits into the direct sum of three  $\text{ad}(a)$  invariant subalgebras  $\mathfrak{G}^s(a)$ ,  $\mathfrak{G}^c(a)$ , and  $\mathfrak{G}^u(a)$  which correspond to the eigenvalues  $\lambda$  of  $\text{ad}(a)$  with  $|\lambda| < 1$ ,  $|\lambda| = 1$ , and  $|\lambda| > 1$  respectively. The element  $a$  is *semisimple* if  $\text{ad}(a)|_{\mathfrak{G}^c(a)}$  is semisimple i.e. diagonalizable over the complex numbers. The subgroups of  $G$  corresponding to  $\mathfrak{G}^s(a)$ ,  $\mathfrak{G}^c(a)$ , and  $\mathfrak{G}^u(a)$  will be denoted  $G^s(a)$ ,  $G^c(a)$ , and  $G^u(a)$  respectively.

To apply the results of section 1 we let  $X = \Gamma \backslash G = \{\Gamma g \mid g \in G\}$ ,  $T = G^u$ , and  $\phi$  the map  $\Gamma g \rightarrow \Gamma ga^{-1}: X \rightarrow X$  where  $a$  is a semisimple element of  $G$ . The fact that  $G$  is unimodular implies that the Haar measure on  $G$  induces a measure  $\omega$  on  $X$  which is both  $T$  and  $\phi$  invariant and which has the property that  $\omega(N) > 0$  for all nonempty open subsets  $N$  of  $X$ . There remains the task of finding the set  $V$  such that the assumptions of 1.2 are satisfied.

**2.1 REMARKS.** 1. The map  $\Gamma g \rightarrow g^{-1}\Gamma: \Gamma \backslash G \rightarrow G/\Gamma = \{g\Gamma \mid g \in G\}$  is an isomorphism of the flow  $(\Gamma \backslash G, G)$  onto the flow  $(G, G/\Gamma)$ . This map also provides an isomorphism of the flows  $(X, T)$  and  $(X, \phi)$  onto  $(G^u, G/\Gamma)$  and  $(\psi, G/\Gamma)$  respectively where  $\psi$  is the map  $g\Gamma \rightarrow ag\Gamma: G/\Gamma \rightarrow G/\Gamma$ . Thus the results obtained there may be applied to the situation discussed in [2].

2. From the definition of  $G''$  it follows that there is a neighborhood  $W$  of the identity of  $G''$  such that the maps  $g \rightarrow a^{-n}ga^n: W \rightarrow G''$  converge uniformly to the constant map  $g \rightarrow e: W \rightarrow G''$ . Thus any compact neighborhood  $V$  of  $e$  in  $T = G''$  with  $V \subset W$  will satisfy assumption 1 of 1.2.

3. Let  $G^{sc}$  be the closed connected subgroup corresponding to  $\mathfrak{G}^s(a) + \mathfrak{G}^c(a)$ . The semisimplicity of  $a$  implies that given any neighborhood  $U$  of  $e$  in  $G^{sc}$  there exists a neighborhood  $W$  of  $e$  in  $G^{sc}$  with  $a^n W a^{-n} \subset U$  ( $n \geq 0$ ) (see [2]).

**2.2 LEMMA.** *There exists an open neighborhood  $U$  of the identity of  $G$  such that the map  $g \rightarrow xg: U \rightarrow X$  is a diffeomorphism onto an open neighborhood of  $x$  ( $x \in X$ ).*

**PROOF.** It suffices to find a neighborhood  $U$  of  $e$  such that the map  $g \rightarrow xg: U \rightarrow X$  is injective ( $x \in X$ ).

Since  $\Gamma$  is discrete there exists a neighborhood  $N$  of  $e$  with  $\Gamma \cap N = e$ . For each  $g \in G$  let  $U_g$  be a symmetric neighborhood of  $e$  with  $gU_g g^{-1} \subset N$ . By the compactness of  $X$  there exists a finite subset  $F$  of  $G$  with  $X = U\{\pi(gU_g) | g \in F\}$ . (Here  $\pi$  is the canonical map of  $G$  onto  $X = \Gamma \backslash G$ .)

Set  $U = \bigcap \{U_g | g \in F\}$  and let  $xg_1 = xg_2$  for some  $x \in X$  and  $g_1, g_2$  in  $U$ . There exist  $g \in F$  and  $u \in U_g$  with  $\pi(gu) = x$ . Then  $\Gamma gu g_1 = \Gamma gu g_2$  whence  $gu g_1 g_2^{-1} u^{-1} g^{-1} \in \Gamma$ . But  $gu g_1 g_2^{-1} u^{-1} g^{-1} \in gU_g U U_g g^{-1} \subset gU_g^4 g^{-1}$  and so  $gu g_1 g_2^{-1} u^{-1} g^{-1} = e$ ; from which it follows that  $g_1 = g_2$ . The proof is completed.

Let  $U$  be as in Lemma 2.2 and let  $W$  and  $\Delta$  be neighborhoods of identity of  $G''$  and  $G^{sc}$  respectively such that the maps  $(t, \alpha) \rightarrow t\alpha: W \times \Delta \rightarrow G$  and  $(\alpha, t) \rightarrow \alpha t: \Delta \times W \rightarrow G$  are injective with images contained in  $U$  (i.e.  $W\Delta \cup \Delta W \subset U$ ). Then for any open subsets  $W_1$  of  $W$  and  $\Delta_1$  of  $\Delta$  the maps  $(t, \alpha) \rightarrow xt\alpha: W_1 \times \Delta_1 \rightarrow X$  and  $(\alpha, t) \rightarrow x\alpha t: \Delta_1 \times W_1 \rightarrow X$  are diffeomorphisms onto open subsets of  $x$  ( $x \in X$ ).

Henceforth  $V$  will denote a compact neighborhood of the identity of  $G''$  and  $N$  an open neighborhood of the identity of  $G$  such that  $t^{-1}gt \in W\Delta$  for all  $g \in N$  and  $t$  in an open neighborhood of  $V$ . Then  $gt = ts(g, t)\gamma(g, t)$  ( $g \in N, t \in V$ ) where  $s$  and  $\gamma$  are differentiable functions of  $N \times V$  into  $W$  and  $\Delta$  respectively.

Let  $\psi$  be the function  $(g, t) \rightarrow ts(g, t): N \times V \rightarrow T$ ,  $\psi_g$  the map  $t \rightarrow \psi(g, t): V \rightarrow T$  and  $\nu_g$  the measure  $\mu\psi_g^{-1}$  ( $g \in N$ ). Then

$$\begin{aligned} \frac{1}{\mu(V)} \int_V f(xts(g, t)\phi^n) d\mu(t) &= \frac{1}{\mu(V)} \int_{\psi_g(V)} f(xt\phi^n) d\nu_g(t) \\ &= \frac{1}{\mu(V)} \int_{\psi_g(V)} f(xt\phi^n) \frac{d\nu_g}{d\mu} d\mu(t). \end{aligned}$$

Thus

$$(1) \quad \left| \frac{1}{\mu(V)} \int_V f(xts(g, t)\phi^n) d\mu(t) - \frac{1}{\mu(V)} \int_V f(xt\phi^n) d\mu(t) \right| \\ \leq \frac{1}{\mu(V)} \left( \int_V \left| 1 - \frac{d\nu_g}{d\mu} \right| |f| d\mu + \int_{V\Delta\psi_g(V)} \left| 1 + \frac{d\nu_g}{d\mu} \right| |f| d\mu \right).$$

Since  $\psi$  is continuously differentiable and  $\psi_e$  is the inclusion map,  $\lim_{g \rightarrow e} \mu(V\Delta\psi_g(V)) = 0$  and  $\lim_{g \rightarrow e} |1 - d\nu_g/d\mu| = 0$  uniformly.

Let  $\varepsilon > 0$ . There exists a neighborhood  $M$  of the identity of  $G$  such that (i)  $|f(xg) - f(x)| < \varepsilon/2$  ( $x \in X, g \in M$ ). Since  $\gamma(e, t) = e$  ( $t \in V$ ) there exists a neighborhood  $N_1$  of  $e$  in  $G$  such that  $N_1 \subset N$  and (ii)  $\phi^{-n}\gamma(g, t)\phi^n \in M$  ( $g \in N_1, t \in V, n \geq 0$ ) (see 3 of 2.1). Let  $K$  be a neighborhood of  $e$  in  $G$  such that  $K \subset N_1$  and (iii)  $|1 - d\nu_g/d\mu| |f| < \varepsilon/4$  and  $\mu(V\Delta\psi_g(V)) |f| < \varepsilon/4$  ( $g \in K$ ).

Let  $x \in X$  and  $y = xg$  for some  $g \in K$ . Then

$$f(yt\phi^n) = f(xgt\phi^n) = f(xts(g, t)\gamma(g, t)\phi^n) = f(xts(g, t)\phi^n\phi^{-n}\gamma(g, t)\phi^n).$$

Hence (a)  $|f(yt\phi^n) - f(xts(g, t)\phi^n)| < \varepsilon/2$  ( $t \in V, n \geq 0$ ) by (i) and (ii). Combining (a), (1) and (iii) we see that

$$\left| \frac{1}{\mu(V)} \int_V f(xt\phi^n) d\mu(t) - \frac{1}{\mu(V)} \int_V f(yt\phi^n) d\mu(t) \right| < \varepsilon \quad (n \geq 0).$$

We have thus proved:

**2.3 LEMMA.** *There exists a compact neighborhood  $V$  of the identity of  $T$  such that the sequence  $(LU^n f | n \geq 0)$  is equicontinuous ( $f \in C(X)$ ) where  $Lh(x) = (1/\mu(V)) \int_V h(xt) d\mu(t)$  and  $Uh(x) = h(x\phi)(x \in X, h \in C(X))$ .*

Lemma 2.3 and 2 of 2.1 allow us to apply 1.10 and conclude:

**2.4 PROPOSITION.** *Let  $a$  be a semisimple element of a unimodular connected Lie group  $G$ ,  $\Gamma$  a cocompact discrete subgroup of  $G$ ,  $T_a$  the map  $g\Gamma \rightarrow ag\Gamma: G/\Gamma \rightarrow G/\Gamma$ , and  $\omega$  the measure induced on  $G/\Gamma$  by the Haar measure on  $G$ . Then the following statements are pairwise equivalent. (1)  $(T_a, G/\Gamma, \omega)$  is weak-mixing. (2)  $(T_a, G/\Gamma)$  is topologically weak-mixing. (3)  $(G^u, G/\Gamma)$  is uniquely ergodic. (4)  $(G^u, G/\Gamma, \omega)$  is ergodic. (5)  $(G^u, G/\Gamma)$  is point transitive. (6)  $(G^u, G/\Gamma)$  is minimal. (For (5) recall that  $G^u$  is nilpotent [2] hence amenable.)*

### 3. Semisimple $G$

In this section we add the assumption that  $G$  is semisimple with finite center and no compact factors. (This means that  $G$  modulo its center is isomorphic to a

product of simple groups none of which is compact.) We conclude that the statement " $(T_a, G/\Gamma, \omega)$  is ergodic" may be added to those of 2.4.

We preserve the notation of section 2 and in addition denote by  $H$  the closed subgroup of  $G$  generated by  $G^u \cup G^s$ .

**3.1 LEMMA.** *Let  $f$  be a continuous  $\phi$ -eigenfunction on  $X$ . Then  $f(xh) = f(x)$  ( $x \in X, h \in H$ ).*

**PROOF.** Let  $f(x\phi) = \lambda f(x)$  ( $x \in X$ ). Then  $\lambda^n f(xg) = f(xg\phi^n) = f(x\phi^n\phi^{-n}g\phi^n)$  ( $x \in X, g \in G$ , all  $n$ ).

If  $g \in G^u$  then one may choose a sequence  $n_i \rightarrow -\infty$  with  $\lambda^{n_i} \rightarrow 1$ . Since  $\phi^{-n_i}g\phi^{n_i} \rightarrow e$  the above relation shows that  $f(xg) = f(x)$  ( $x \in X$ ).

On the other hand if  $g \in G^s$  one takes a sequence  $n_i \rightarrow \infty$  with  $\lambda^{n_i} \rightarrow 1$  to show that in this case also  $f(xg) = f(x)$  ( $x \in X$ ).

The proof is completed.

**3.2 LEMMA.** *Let  $K$  be the closed subgroup of  $G$  generated by  $\Gamma \cup H$ . Then  $(K \backslash G, \phi)$  is equicontinuous.*

**PROOF.** Let  $d$  be a metric on  $K \backslash G$  and  $\varepsilon > 0$ . Then there exists a neighborhood  $U$  of  $e$  in  $G$  such that  $d(xg, x) < \varepsilon$  ( $x \in K \backslash G, g \in U$ ). By 3 of 2.1 there exists a neighborhood  $W$  of  $e$  in  $G^{sc}$  with  $\phi^{-n}g\phi^n \in U$  ( $n \geq 0, g \in W$ ).

Let  $x \in K \backslash G$  and  $y = xg$  for some  $g \in W$ . Then

$$d(x\phi^n, y\phi^n) = d(x\phi^n, x\phi^n\phi^{-n}g\phi^n) < \varepsilon \quad (n \geq 0).$$

Since  $xW$  is a neighborhood of  $x$ , the sequence  $(\phi^n | n \geq 0)$  is equicontinuous on  $K \backslash G$ . The proof is completed.

**3.3 REMARKS.** 1. The subgroup  $H$  is normal and so  $K = \overline{\Gamma H}$ . (For a proof see [6]. There the case of a real flow is considered, the proof for the discrete case is similar.)

2. Set  $S = G/H$  and let  $\Delta = \overline{\pi(\Gamma)}$  where  $\pi: G \rightarrow S$  is the canonical map. Then  $S$  is semisimple and  $S/\Delta$  is compact. Hence by [1] the component  $\Delta_0$  of the identity of  $\Delta$  is a normal subgroup of  $S$ . Set  $R = S/\Delta_0$  and  $\Sigma = \Delta/\Delta_0$ . Then  $\Sigma$  is a closed discrete subgroup of the semisimple group  $R$  and  $\Sigma \backslash R$  is compact; indeed  $\Sigma \backslash R \cong \Delta \backslash S$ . Thus  $\phi$  and  $\omega$  induce a homeomorphism  $\psi$  of  $\Sigma \backslash R$  and a measure of  $\nu$  on  $\Sigma \backslash R$  such that  $(\Sigma \backslash R, \psi, \omega)$  is a homomorphic image of  $(\Gamma \backslash G, \phi, \omega)$ .

Since  $\pi(K) \subset \Delta$ ,  $(\Sigma \backslash R, \psi)$  is a homomorphic image of  $(K \backslash G, \phi)$  whence by 3.2 it is equicontinuous.

If  $(\Gamma \backslash G, \phi, \omega)$  were ergodic then  $(\Sigma \backslash R, \psi, \omega)$  would be ergodic and equicon-

tinuous hence minimal (recall  $\omega(N) > 0$  for all nonempty open sets). This would mean that  $\Sigma \backslash R$  was homeomorphic to an  $n$ -torus or a single point.

However  $\Sigma \backslash R$  cannot be homeomorphic to an  $n$ -torus with  $n \geq 1$ , for then  $\Sigma$  would be abelian (consider the homotopy sequence of the bundle  $(R, \Sigma \backslash R)$  and recall that  $R$  is connected) and so would be in the center of  $R$  [1, 4.4] and  $\Sigma \backslash R$  would be a torus; contradicting the fact that  $R$  is semisimple.

Thus if  $(\Gamma \backslash G, \phi, \omega)$  is ergodic, then  $\Sigma = R$  whence  $\Delta = S$  and so  $K = G$ .

**3.4 PROPOSITION.** *Let  $a$  be a semisimple element of a connected semisimple Lie group  $G$  with finite center and no compact factors,  $\Gamma$  a cocompact discrete subgroup of  $G$ ,  $T_a$  the map  $g\Gamma \rightarrow ag\Gamma: G/\Gamma \rightarrow G/\Gamma$ , and  $\omega$  the measure induced on  $G/\Gamma$  by the Haar measure on  $G$ . Then the following statements are pairwise equivalent. (1)  $(T_a, G/\Gamma, \omega)$  is weak-mixing. (2)  $(T_a, G/\Gamma)$  is topologically weak-mixing. (3)  $(G^u, G/\Gamma)$  is uniquely ergodic. (4)  $(G^u, G/\Gamma, \omega)$  is ergodic. (5)  $(G^u, G/\Gamma)$  is point transitive. (6)  $(G^u, G/\Gamma)$  is minimal. (7)  $(T_a, G/\Gamma, \omega)$  is ergodic.*

**PROOF.** The equivalence of the first six statements follows from 2.4.

Clearly (7) follows from (1). We show that (7) implies (2).

Let  $f$  be a continuous  $T_a$ -eigenfunction. Then by 3.1  $f(hg\Gamma) = f(g\Gamma)$  ( $g \in G, h \in H$ ) whence  $f$  is constant on  $G/\Gamma$  by 2 of 3.2. The proof is completed.

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